

FORM PTO-1082  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Express Mail Label No. EL856246240US  
Case Docket No. 57139-5052  
Date:

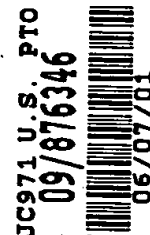
Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Tadashi ICHIDA and Akira TSUMIYAMA**

For: **HYDRAULIC GEAR SHIFT MECHANISM**

Enclosed are:



☒ 9 Sheet(s) of drawing (☐ formal ☒ informal) + \_\_\_ extra copies.  
☒ 20 pages of specification.

☒ An executed assignment of the invention to Shimano Inc.

☐ A certified copy of \_\_\_\_\_

from which priority is claimed in the subject case pursuant to Rule 55(b) and 35 USC 119(a)-(d).

An executed Power of Attorney by Assignee and Exclusion of Inventor Under Rule 3.71 is enclosed.

A Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.

An executed Declaration is enclosed.

An executed Recordation Form Cover Letter (Form PTO 1595) will follow.

Information Disclosure Statement (Form PTO 1449), with 4 attachments.

Petition to Make Special under 37 CFR §1.102 and MPEP §708.02(VIII).

Request and Certification Under 35 U.S.C. 122(b)(2)(B)(ii)

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
			RATE	FEE		RATE	FEE
BASIC FEE				\$			\$ 710
TOTAL CLAIMS	14 - 20	0	x 9 =	\$	OR	x 18 =	\$
INDEP CLAIMS	8 - 3	5	x 40 =	\$	OR	x 80 =	\$ 400
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$130			+\$260	
TOTAL				\$			\$1,110

☐ Please charge my Deposit Account No. 10-0440 the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1,110.00 to cover the filing fee is enclosed.

☒ Check for \$ 40.00 covering Recordation of Assignment fee enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 10-0440. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 10-0440. A duplicate copy of this sheet is enclosed.

☐ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Kathy Morini

JEFFER, MANGELS, BUTLER & MARMARO LLP  
TENTH FLOOR

PATENT  
57139-5052

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Tadashi ICHIDA

Serial No. not yet assigned

Filed: June 7, 2001

For: HYDRAULIC GEAR SHIFT  
MECHANISM

Group Art Unit: not yet assigned

Examiner: not yet assigned

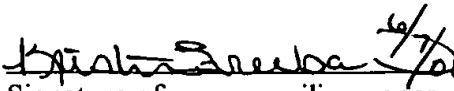
CERTIFICATE OF MAILING UNDER 37 CFR 1.10

Express Mail No. EL856246240US

Date of Deposit June 7, 2001

I hereby certify that the attached Patent Application Transmittal - FORM PTO 1082 (1 page); Application including, specification, claims and abstract (20 pages); eight (9) sheets of drawings; executed Declaration, executed Power of Attorney by Assignee and Exclusion of Inventor and an executed Assignment with PTO Form 1595; Information Disclosure Statement with 4 cited references; Check for \$1,110.00; Check for \$40.00 and a return postcard is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" services under 37 C.F.R. § 1.10, on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Kristin Freebairn

  
Signature of person mailing paper